

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

07200317001

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/031781

INTERNATIONAL APPLICATION NO.
PCT/JP01/04325INTERNATIONAL FILING DATE
May 23, 2001PRIORITY DATE CLAIMED
May 23, 2000

TITLE OF INVENTION

ORGANIC EL DISPLAY, AND METHOD OF MANUFACTURE OF ORGANIC EL DISPLAY

APPLICANT(S) FOR DO/EO/US

Yohei MAYUZUMI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

A copy of the Notification Concerning Submission or Transmittal of Priority Document (PCT/IB/304)

A copy of the Notification Concerning the Filing of Amendments of the Claims (PCT/IB/346)

Signed verification statement that the English language translations are accurate.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 10/03178		INTERNATIONAL APPLICATION NO. PCT/JP01/04325		ATTORNEY'S DOCKET NUMBER 07200/017001	
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24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	17 - 20 =	0	x \$18.00	\$0.00	
Independent claims	10 - 3 =	7	x \$84.00	\$588.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,478.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$1,478.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$1,478.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00	
TOTAL FEES ENCLOSED =				\$1,518.00	
				Amount to be: refunded \$	
				charged \$	

a. ☒ A check in the amount of \$1,518.00 to cover the above fees is enclosed.


b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0591 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number: 22511 ROSENTHAL & OSHA L.L.P. Suite 2800 1221 McKinney Street Houston, Texas 77010 Telephone: (713) 228-8600 Facsimile: (713) 228-8778	<div style="text-align: center;">  SIGNATURE </div> <div style="text-align: center;"> Jonathan P. Osha NAME </div> <div style="text-align: center;"> <u>33,986</u> REGISTRATION NUMBER </div> <div style="text-align: center;"> January 23, 2002 DATE </div>
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10/031781

1012 Rec'd PCT/PTO 23 JAN 2002

CERTIFICATE OF MAILING "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

Applicant(s): Yohei MAYUZUMI

07200/017001

Serial No.

TBA

Filing Date

January 23, 2002

Examiner

Group Art Unit

Invention: ORGANIC EL DISPLAY, AND METHOD OF MANUFACTURE OF ORGANIC EL DISPLAY

I hereby certify that the following correspondence:

New U.S. Application filing under 35 U.S.C. 371

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 23, 2002

(Date)

Staci R. Tulley

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EV049244581US

("Express Mail" Mailing Label Number)

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Note: Each paper must have its own certificate of mailing.

10/031781

JC13 Rec'd PCT/PTO 23 JAN 2002

CERTIFICATE OF MAILING BY EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yohei MAYUZUMI

Docket No.

07200/017001

Serial No. TBA	Filing Date January 23, 2002	Examiner	Group Art Unit
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Invention: ORGANIC EL DISPLAY, AND METHOD OF MANUFACTURE OF ORGANIC EL DISPLAY

I hereby certify that the following correspondence:

Combined Declaration and Power of Attorney

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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(Date)

Staci R. Tulley

(Typed or Printed Name of Person Mailing Correspondence)


Staci R. Tulley

(Signature of Person Mailing Correspondence)

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Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF MAILING IN "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Yohei MAYUZUMI			07200/017001
Serial No. TBA	Filing Date January 23, 2002	Examiner	Group Art Unit
Invention: ORGANIC EL DISPLAY, AND METHOD OF MANUFACTURE OF ORGANIC EL DISPLAY			
I hereby certify that the following correspondence:			
<div>Assignment</div> <p>(Identify type of correspondence)</p>			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>January 23, 2002</u> (Date)			
<div>Staci R. Tulley</div> <p>(Typed or Printed Name of Person Mailing Correspondence)</p> <div></div> <p>(Signature of Person Mailing Correspondence)</p> <div>EV049244581US</div> <p>("Express Mail" Mailing Label Number)</p>			
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